



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert J. Small  
Assignee: EKC Technology, Inc.  
Title: Chemical-Mechanical Planarization Using Ozone  
Application No.: 09/783,069 Filing Date: February 13, 2001  
Examiner: Thao P. Le Group Art Unit: 2818  
Docket No.: CHEM.010US0 Conf. No.: 3260

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope address to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on 10/3/03

Gallen Bower  
Signature

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PRELIMINARY SUBMISSION**

Sir:

A Request for Continued Examination was submitted in the above-mentioned application on September 30, 2003. Entry of this preliminary submission prior to consideration of the above-referenced application on the merits, is respectfully requested.



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October 3, 2003

Mail Stop RCE  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Re: Applicant(s): Robert J. Small  
Title: Chemical-Mechanical Planarization Using Ozone  
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Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) Return Receipt Postcard;
- (2) This Transmittal Letter (in duplicate – 1 page); and
- (3) Preliminary Submission (5 pages).

- ☒ No additional fee is required.  
☐ The fee has been calculated as shown below:

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- ☒ Conditional Petition for Extension of Time: If an extension of time is required for timely filing of the enclosed document(s) after all papers filed with this transmittal have been considered, an extension of time is hereby requested.
- ☒ Please charge any additional fees required and credit any overpayment to our Deposit Account No. 502664.

Total: \$ \$0.00

Respectfully submitted,

K. Alison de Runtz  
Attorney of Record  
Reg. No. 37,119